

Oracle Financials, GRC, Security & Controls Professional

Founder of Oracle Independent Consultants LLC (OIC), an Oracle Gold Partner and an Oracle Governance, Risk and Compliance (GRC), Security and Controls functional professional with more than twenty (20) years of hands on experience implementing and configuring Oracle Financials, GRC, Security and Controls solutions. Earned the following Oracle GRC specializations:

- Oracle Advanced Controls Applications 2014 Certified Implementation Specialist
- Oracle Fusion Governance, Risk and Compliance Advanced Controls 2014 Presales Specialist
- Oracle Fusion Governance, Risk and Compliance Advanced Controls 2014 Sales Specialist

GRCEXpress™

GRCEXpress™ develop by Oracle Independent Consultants LLC (OIC), provides a broad platform of Oracle GRC Implementation and User Guides, GRCEXpress™ Sandbox of Oracle GRC applications, Interactive GRC training documents and Oracle Unified Method (UOM) templates and deliverables specifically designed for Oracle Advanced Controls (AC) and Oracle Enterprise GRC Manager (EGRM).

GRCEXpress™ is a cost effective cloud based solution that provides you with the tools and resources you need to learn how to effectively and efficiently install, implement and train users to use the Oracle GRC applications using Oracle and Industry Best Practices. ([Subscribe to GRCEXpress™](#))

Accounting and Auditing Experience

Experience includes four (4) years as an external auditor for KPMG and more than ten (10) years of experience serving as a Controller & Director. Able to communicate effectively with “C” Officers, internal auditors, SOX advisors and external auditors.

Federal Experience

Experience implementing Oracle Government Financials for DOD. Familiarity with information system audit including an understanding of FFMIA, FMFIA, OMB Cir. A-123, FISMA, and FISCAM. Ability to leverage Oracle GRC, Security and Controls solutions to ensure compliance with these directives.

Internal Control Assessments

Proficient using COSO, COBIT and other internal control frameworks to assess the adequacy of Oracle System and Manual Controls

Core Oracle Competencies

Core Oracle competencies include:

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|---|---|
| ➤ <i>Application Access Controls Governor (AACG) 8.6.5.8x</i> | ➤ <i>Enterprise Transaction Controls Governor (ETCG) 8.6.5.8x</i> |
| ➤ <i>Configuration Controls Governor (CCG) 5.5.1</i> | ➤ <i>Preventive Controls Governor (PCG) 7.3.3</i> |
| ➤ <i>Enterprise GRC Intelligence (GRCI) 8.6.5.8x</i> | ➤ <i>Enterprise GRC Manager (EGRM) 8.6.5.8x</i> |

- *Oracle Financials R11.5.10.2 / R12.1.3*
- *Oracle User Management and RBAC*
- *Multi-Org Access Control (MOAC)*

- *Oracle Diagnostics Tool*
- *Oracle System Administration – Security*
- *Access Approvals Process using PCG with PEA*

Professional Credentials

- Certified Public Accountant (CPA) #26286E
- Certified Information Systems Auditor (CISA) #0758596
- Certified Information Technology Professional (CITP) #1699
- Certified in Risk and Information Systems Control (CRISC) #1115265
- Certified Information Security Manager (CISM) #1118235
- Certified Global Management Accountant (CGMA) ?
- Oracle Advanced Controls Applications 2014 Certified Implementation Specialist
- Oracle Fusion Governance, Risk and Compliance Advanced Controls 2014 Sales Specialist
- Oracle Fusion Governance, Risk and Compliance Advanced Controls 2014 Pre-Sales Specialist

Education

- Masters of Business Administration (MBA), Business, St. Mary's College of California
- Bachelor of Science (BS), Accounting, California State University East Bay

Professional Affiliations

- Information Systems Audit and Control Association (ISACA)
- American Institute of Certified Public Accountants (AICPA)
- Institute of Internal Auditors (IIA)
- Open Compliance and Ethics Group (OCEG)
- Global Association of Risk Professionals (GARP)

Key Qualifications

- Application Configuration Management
- Change Control
- Change Tracking
- Restricted Access Controls
- Segregation of Duties (SOD) Controls
- Transaction Controls
- Risk Assessment & Risk Management
- Role Based Access Controls (RBAC) using Oracle User Management
- Multi-Org Access Control (MOAC)
- COSO 2013
- CobiT
- Internal Controls Review
- Execute Audit Procedures and Record Results
- SOD Remediation
- User Management
- System Administration – Security
- Continuous Controls Monitoring
- Defense Finance and Accounting Service (DFAS)
- FFMIA, FMFIA, OMB Cir. A-123, FISMA, FISCAM

---Professional Experience Oracle Consulting---

Customer	Locations		End Date	Primarily Responsibility
Motorola	Schaumburg, IL	Feb 2015	Present	Working with IBM for Motorola as the Oracle GRC Track Lead responsible for implementing Oracle Advanced Controls. Also responsible for leading effort to define Oracle custom Responsibilities, which are free of intra-responsibility SOD Conflicts.
Xylem Inc.	Seneca Falls, NY	Oct 2014	Jan 2015	Assess and Improve Efficiency and Effectiveness of Oracle Advanced Controls (formerly GRC Controls)
United Laboratories (UL)	Chicago, IL	Jun 2014	Sep 2014	Oracle Advanced Controls Installation and Implementation Project Lead
National Oilwell Vargo	Houston, TX	Feb 2014	Apr 2014	Oracle System Administrator to implement Role Based Access Controls (RBAC) using Oracle traditional security model.
Emulex	Costa Mesa, CA	Oct 2013	Jan 2014	Oracle GRC, Security and Controls Lead
Nanometrics	Mountain View, CA	Jul 2013	Sep 2013	Oracle GRC and System Administration- Security Lead
Darling International	Irving, CA	Oct 2012	Jun 2013	Oracle GRC, Security and Controls Lead
UTI	Portland, OR	May 2012	Sep 2012	Oracle GRC, Security and Controls Lead
Sanderson Farms	Laurel, MS	Feb 2011	Nov 2011	Oracle GRC Project Lead/ Oracle Financials
Diebold	North Canton, OH	Jun 2010	Feb 2011	Oracle GRC Project Lead
Dresser	Dallas, TX	Jul 2010	Dec 2010	Oracle GRC Project Lead (Remote)
Cricket Communications	San Diego, CA	Nov 2009	Mar 2010	Oracle GRC Project Lead
Netscout Systems, Inc.	Westford, MA	Oct 2009	Dec 2009	Oracle GRC Project Lead

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Merial Inc.	Atlanta, GA	Sep 2008	Jan 2009	Role Based Access Controls
ESCO Corporation	Portland, OR	Jun 2008	Jul 2008	Oracle Internal Controls Manager Project Lead
Partners Consultant Services, Inc.	Irvine, CA	Mar 2008	Jul 2008	Sr. Oracle Financial Functional Lead for EBS R12.1.3 Implementation
Fujitsu	Dallas, TX	Jan 2008	Mar 2008	Sr. Oracle Financial Functional Lead for EBS R12.1.3 Implementation
South Mississippi Electric Power Association (SMEPA),	Hattiesburg, MS	Nov 2007	Dec 2007	Sr. Oracle Financials UPK Training Professional
True Temper Sports	Memphis, TN	Oct 2007	Nov 2007	Sr. Oracle Internal Controls Manager (OICM)/SOX Functional Consultant
ESCO Corporation	Portland, OR	Aug 2007	Sep 2007	Sr. Oracle Internal Controls Manager (OICM)/SOX Functional Consultant
General Dynamics Land Systems (GDLS)	Detroit, MI	May 2006	Apr 2007	Sr. Oracle Internal Controls Manager (OICM)/SOX Functional Consultant
Nextwave Broadband Inc.	San Diego, CA	Aug 2005	Feb 2006	Sr. Oracle Internal Controls Manager (OICM)/SOX Functional Consultant
First NLC Financial Services, LLC	Deerfield Beach, FL	Mar 2005	Aug 2005	Sr. Oracle Financials/OICM/SOX Functional Lead (R11.5.9)
Georgia Student Finance Commission (GSFC)	Atlanta, GA	Jan 2005	Mar 2005	Sr. Oracle Financials Functional Lead
Sonicwall	Sunnyvale, CA	Nov 2004	Dec 2004	Sr. Oracle Financials/SOX Consultant
Silicon Image	Sunnyvale, CA	Jun 2004	Nov 2004	Sr. Oracle Internal Controls Manager (OICM)/SOX Functional Consultant (Remote)
NCI Building Systems, Inc. (NCI),	Houston, TX	Jul 2004	Nov 2004	Oracle Financials Functional Lead (Release 11i – 11.5.9)
Texas Woman's University (TWU),	Denton, TX	Apr 2004	May 2004	Oracle Financials Functional Lead (Release 11i – 11.5.8)
Infonet Services Corporation	Los Angeles, CA	Jul 2003	Mar 2004	Oracle Financials Functional Lead (Release 11i – 11.5.8)
Louisville/Jefferson County Metro Government	Louisville, KY	Oct 2002	Jul 2003	Oracle Public Sector Financials Functional Project Lead

Customer	Locations		End Date	Primarily Responsibility
Emerson Electric (Leroy Somer Division)	Lexington, TN	Apr 2002	Sep 2003	<i>Oracle Financials and Order Management Functional Project Lead</i>
Martin's Famous Pastry Shoppe, Inc.	Chambersburg, PA	Oct 2001	Dec 2001	Oracle Financials Project Lead
Sierra Health Services, Inc.	Las Vegas, NV	Feb 2001	Sep 2001	Oracle Financials Project Lead
Oxford Global Technologies (OGT),	Beverly, MA	Aug 2000	Feb 2001	Senior Oracle Financials Functional Consultant
CGMI, Inc.	Waltham, MA	Apr 2000	Jul 2000	Senior Oracle Financials Functional Consultant
Range Systems Engineering (RSE) (RAYTHEON)	Burlington, MA	Oct 1999	Mar 2000	Senior Oracle Financials Functional Consultant
Rose Acre Farms	Indianapolis, IN	May 1999	Sep 1999	Senior Oracle Financials Functional Consultant (Release 10.7SC)
INVESCO	New York, NY	Nov 1998	May 1999	Senior Oracle Financials Functional Consultant (Release 10.7SC)
FAA Logistics Center	Oklahoma City, OK	Aug 1997	Oct 1998	Oracle Financials Project Lead (Oracle Employee)
Sandia National Laboratories	Albuquerque, NM	Aug 1996	Aug 1997	Oracle Financials Project Lead (Oracle Employee)
Kelly Air Force Base Directorate Of Aerospace Fuels Management	Arlington, VA	Jun 1995	Aug 1996	Oracle Financials Functional Consultant (Release 10.5) (Oracle Employee)

---Professional Experience with Private Industry---

In this section, I have documented my experience working in private industry as an Accounting professional.

- **Universities Research Association, Inc.**, Manager, Finance Data Systems, Internal Controls and Documentation -Jan 1995 – Jun 1995
- **Universities Research Association, Inc.**, Accounting Supervisor, Team Leader Jan 1994 – Jan 1995
- **Universities Research Association, Inc.**, Senior Finance Representative - Aug 1992 – Jan 1994
- **Budget Rent-A-Car Corporation**, Business Requirements Manager - May 1988 – Aug 1992
- **Budget Rent-A-Car Corporation**, Controller Budget Rent-A-Car of Dallas – Sep 1986 – May 1988
- **Budget Rent-A-Car Corporation**, Assistant Controller - Jan 1985 – Sep 1986
- **International Data Systems**, Vice President of Finance Sunnyvale, CA-1982 – 1985
- **ITEL Corporation**, Director of Accounting, Rail Division – San Francisco, CA -1981 – 1982
- **SYAR Industries, Inc.**, Corporate Controller – Vallejo, CA 1978 – 1981

- **KPMG PEAT MARWICK** – San Francisco, CA - Senior Auditor - 1975 – 1978

---Affiliations and Awards---

Awards

- Outstanding Achievement Award – Conley, Canitano & Associates in 1998
- Stagehand of the Year Award – Oracle Government Services in 1997

Keywords

Oracle, financials, compliance, internal controls, SOX, Sarbanes, COBIT, ITAR, CISA, CISM, CPA, AACG, CCG, TCG, PCG, GRCC, GRCI, GRCM, EGRCM, Application Access Controls Governor, Configuration Access Controls Governor, Transaction Controls Governor, Preventive Controls Governor, GRC Manager, GRC Intelligence, Oracle Advanced Controls